



H2.0-like Homeobox Regulates Early Hematopoiesis and Promotes Acute Myeloid Leukemia

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SUMMARY

Homeobox domain-containing transcription factors are important regulators of hematopoiesis. Here, we report that increased levels of nonclustered H2.0-like homeobox (HLX) lead to loss of functional hematopoietic stem cells and formation of aberrant progenitors with unlimited serial clonogenicity and blocked differentiation. Inhibition of HLX reduces proliferation and clonogenicity of leukemia cells, overcomes the differentiation block, and leads to prolonged survival. HLX regulates a transcriptional program, including PAK1 and BTG1, that controls cellular differentiation and proliferation. HLX is overexpressed in 87% of patients with acute myeloid leukemia (AML) and independently correlates with inferior overall survival (n = 601, p = 2.3×10^{-6}). Our study identifies HLX as a key regulator in immature hematopoietic and leukemia cells and as a prognostic marker and therapeutic target in AML.

INTRODUCTION

Transcription factors are critical for the regulation of normal hematopoiesis as well as leukemogenesis (Friedman, 2007; Laiosa et al., 2006; Tenen, 2003). Several members of the Hox (class I homeobox genes) family of transcription factors, which contain a conserved homeobox domain and are organized into four major gene clusters in humans, have been implicated in the functioning of hematopoietic stem and progenitor cells (HSPC) as well as in leukemic transformation and the generation of leukemia-initiating cells (Argiropoulos and Humphries, 2007; Krumlauf, 1994; Sitwala et al., 2008). Less is known about the role of nonclustered (class II) homeobox genes in hematopoiesis and leukemia. Members of the CDX family, for instance, have been found to be overexpressed in acute leukemias and to regulate Hox gene expression (Bansal et al., 2006; Scholl et al., 2007). Transcriptional analysis of purified stem and progenitor populations has recently been utilized as a powerful tool to identify critical regulators of stem and progenitor cell function and transformation to leukemia-initiating cells (Krivtsov et al., 2006; Majeti et al., 2009; Passegué et al., 2004; Saito et al., 2010; Somervaille and Cleary, 2006; Steidl et al., 2006, 2007). Our analysis of preleukemic HSPC in a murine model of AML revealed the nonclustered H2.0-like homeobox (HIx) gene to be 4-fold upregulated compared to wild-type (WT) HSPC (Steidl et al., 2006; data not shown), suggesting that HIx may be involved in malignant transformation. HLX is the highly conserved human-murine homolog of the homeobox gene H2.0, which shows tissuespecific expression throughout development in Drosophila melanogaster (Allen et al., 1991; Hentsch et al., 1996). Additional

Significance

AML is a heterogeneous disease with poor clinical outcome. Less than one third of patients achieve durable remission with current treatment regimens, and prognostication and risk stratification of individual patients is challenging. New molecular targets are desired for more effective therapeutic intervention. We identify the nonclustered homeobox gene HIx as a key regulator in immature hematopoietic and leukemia cells. HLX is overexpressed in the majority of patients with AML, and high levels of HLX correlate with inferior survival. Inhibition of HLX overcomes the differentiation block of AML and prolongs survival in an in vivo model. As a key factor controlling malignant cell growth and differentiation, HLX may be a prognostic and therapeutic target in AML and possibly other types of cancer.



studies two decades ago detected *HLX* expression in hematopoietic progenitors and in leukemic blasts of patients with AML, and a study of HLX-deficient fetal liver cells suggested a decrease of colony-formation capacity (Deguchi and Kehrl, 1991; Deguchi et al., 1992). However, the precise function of HLX in HSPC and its role in leukemia have not been studied, which was the objective of the present study. AML is a heterogeneous disease with overall poor clinical outcome (Marcucci et al., 2011). Less than one third of patients with AML achieve durable remission with current treatment regimens. Furthermore, prognostication and risk stratification of individual patients remains very challenging, in particular in favorable and standard risk groups. New targets need to be identified for effective and individualized therapeutic intervention.

RESULTS

HLX Overexpression Impairs Hematopoietic Reconstitution and Leads to a Decrease in Long-Term Hematopoietic Stem Cells and Persistence of a Small Progenitor Population

To examine the functional consequences of elevated HLX levels on hematopoiesis, we sorted lineage-negative (Lin-), Kit+ bone marrow (BM) cells from Ly5.2(CD45.2)+ WT mice, transduced them with a lentivirus expressing HLX and GFP, or GFP alone as a control (Figures 1A and 1B), and transplanted them into lethally irradiated congenic Ly5.1(CD45.1)⁺ recipient mice. Transduction efficiency of control lentivirus and HIx lentivirus was comparable, with both at approximately 50% (see Figure S1A available online). Twenty-four hours after transplantation, both control and HLX-overexpressing GFP+ Ly5.2+ donor cells were detected in the BM at similar frequencies (42.8% and 41.6%, respectively) (Figure 1C), indicating equal homing of the transplanted cells. Twelve weeks after transplantation, we evaluated hematopoietic multilineage reconstitution in the peripheral blood. Both groups engrafted robustly with an average donor chimerism of Ly5.2 cells of 80% (SD: 10%) and 85% (SD: 9%) in the control and HIx groups, respectively. However, although mice transplanted with control cells showed 35% (SD: 17%) GFP+ cells in the peripheral blood 12 weeks after transplantation, mice transplanted with Hlx-transduced cells displayed drastically fewer GFP+ cells, with only 0.07% (SD: 0.06%), demonstrating a severe defect of HLX-overexpressing cells in hematopoietic reconstitution (Figure 1D). To determine the cellular compartments in which HLX was effective, we analyzed stem and progenitor cells in recipient BM. No GFP+ long-term hematopoietic stem cells (LT-HSC; Thy1 loFlk2 LSK [Lin-Sca1+Kit+]) in mice transplanted with HLX-expressing cells were detected, whereas control mice displayed, on average, 42% (SD: 20%) GFP+ LT-HSC (Figure 1E). Furthermore, in contrast to control animals, we could not find any GFP+ HLXexpressing short-term HSC (ST-HSCs; Thy1loFlk2+LSK), multipotent progenitors (MPP; Thy1- Flk2+LSK), common myeloid progenitors (CMP; Lin⁻Kit⁺Sca-1⁻FcγRII/III^{lo}CD34^{lo}), granulocyte-monocyte progenitors (GMP; Lin-Kit+Sca-1-FcγRII/III+ CD34+). or megakaryocyte-erythrocyte progenitors (MEP; Lin⁻Kit⁺Sca-1⁻FcγRII/III⁻CD34⁻), indicating that HLX acts at the level of the earliest hematopoietic stem cells (Figure S1B). When we analyzed Hlx-GFP-transduced Lin⁻Kit⁺ (KL) cells by AnnexinV/DAPI staining, both control and HLX-overexpressing cells displayed the same low percentage of apoptotic-necrotic cells (Figure S1C), indicating that HLX acts by a mechanism other than induction of apoptosis or necrosis. Further analysis for donor-derived cell populations persisting upon HLX overexpression revealed a small population of GFP+, CD45.2(Ly.5.2)+, Lin-, CD34-, and Kit-cells, which were still present in the BM 12 weeks after transplantation (Figure 1F). Analysis for additional surface markers revealed that these cells were characterized by intermediate expression of CD11b, as well as high expression of CD49b and CD44 (Figure 1G, left panel), which is consistent with the surface phenotype of myeloid precursor cells slightly past the GMP stage (Novershtern et al., 2011). This CD45+ CD11b^{mid}CD49b⁺CD44⁺ cell population was 16-fold expanded upon HLX expression in comparison to control (p = 1.1×10^{-5}) (Figure 1G, right panel).

HLX Confers Unlimited Serial Clonogenicity to CD34⁻Kit⁻ Hematopoietic Cells

Next, we performed in vitro colony formation assays of transduced LSK cells. Hlx-transduced LSK cells formed slightly fewer and smaller colonies than did control-transduced LSK cells in the initial plating (Figure 2A, left panel, and Figure S2A). To evaluate long-term clonogenic potential of HLX-overexpressing cells, we performed serial-replating assays. LSK cells overexpressing HLX showed greater clonogenic capacity in the second to fifth plating, in comparison to control-transduced cells, and maintained serial replating capacity through the sixth to ninth plating (Figure 2A, right panel), showing a de facto immortalization of this clonogenic progenitor population by HLX. In addition, colonies were noticeably larger in size after five platings compared to control (Figure 2B). Analysis of cells isolated from the initial plating revealed that HLX overexpression led to a decrease of Kit+ cells, similar to the in vivo phenotype, and an increased proportion of phenotypically more mature CD34-Kit- cells in comparison to control-transduced cells (Figure 2C). To further characterize this persisting population, we examined a panel of cell surface markers. Although the CD34-Kit- cells were negative for CD11c, CD25, FcγRII/III, CD61, CD115, and CD150 (Figure S2B; and data not shown), they expressed CD49b and CD44, as well as intermediate levels of CD11b (Figure S2B), similar to our observations in vivo (Figure 1G). To determine which cellular subpopulation conferred the increased clonogenic capacity, we sorted equal numbers of CD34⁺Kit⁺ cells, CD34⁺Kit⁻ cells, CD34⁻Kit⁺ cells, and CD34⁻Kit⁻ cells from the first plating (populations I-IV, see Figure 2C), and subjected each individual population to colony formation assays. Only CD34⁻Kit⁻ cells derived from HLX-overexpressing cells formed a larger number of colonies in comparison to control cells, whereas all other populations did not display significant clonogenicity (Figure 2D). Furthermore, the HLX-overexpressing GFP+CD34-Kit- cells showed serial replating capacity through four rounds, whereas all other populations exhausted significantly earlier (Figure 2E). Finally, when we injected HLX-overexpressing GFP+CD34-Kit- cells from the fourth, sixth, or eighth plating into irradiated NOD-SCID-IL2Rgamma null (NSG) mice, GFP+ cells were detectable after 7 weeks in the peripheral blood (Figure S2C; and data not shown). These data indicate that increased levels of HLX confer long-term clonogenicity to a population of CD34⁻Kit⁻ cells.



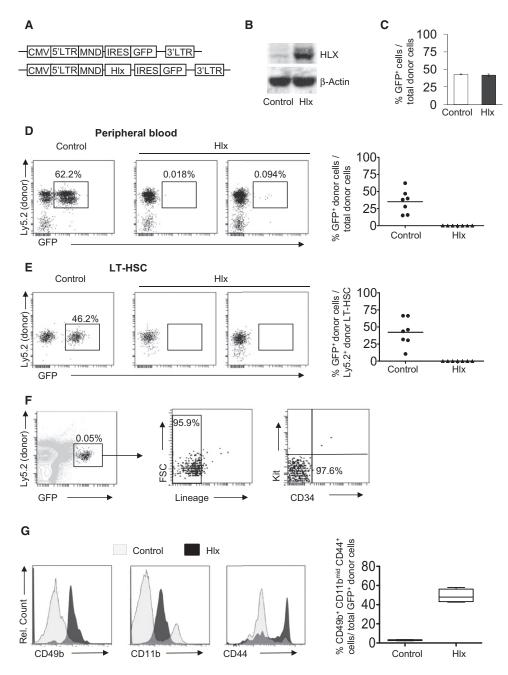


Figure 1. HLX Overexpression Impairs Hematopoietic Reconstitution and Leads to a Decrease in Long-Term Hematopoietic Stem Cells and Persistence of a Small Progenitor Population

(A) Schematics of lentiviral vectors.

(B) Increased protein expression of HLX in Lin-Kit+ cells after transduction with HLX-expressing lentivirus and sorting of GFP+ cells.

(C) Homing is not affected by HLX overexpression. Lentivirus-transduced Lin $^-$ Kit $^+$ cells (8 × 10 4) from WT C57BL/6 mice (Ly5.2) were transplanted into lethally irradiated congenic WT recipients (Ly5.1). Bone marrow mononuclear cells from recipients were analyzed 24 hr after transplantation. The frequency of GFP $^+$ cells in the donor population (Ly5.1 $^-$ Ly5.2 $^+$) was assessed, and averages \pm SD are shown (n = 3).

(D and E) Control- or HIx-IRES-GFP-transduced Lin⁻Kit⁺ cells (Ly5.2) together with spleen cells from congenic WT mice (Ly5.1) were transplanted into lethally irradiated congenic WT recipients (Ly5.1) (n = 7) and analyzed 12 weeks after transplantation. Total GFP⁺ cells in peripheral blood (D) and Lin⁻Kit⁺Sca⁺ Thy1^{lo}Flk2⁻ LT-HSC in bone marrow (E) are shown. Detailed gating scheme and additional analyses are shown in Figure S1. Means are indicated by horizontal lines in the panels on the right.

(F) Analysis of GFP+ cells in total bone marrow cells from recipients transplanted with HIx-transduced Lin⁻Kit⁺ cells after 12 weeks. Relative percentages of GFP+, Lin⁻, as well as CD34⁻Kit⁻ donor cells are indicated.

(G) CD49b, CD11b, and CD44 expression on donor Ly5.2*GFP+Lin⁻Kit⁻ cells from recipients transplanted with *Hlx*- or control-transduced Lin⁻Kit⁺ cells 6 weeks after transplantation. Representative histogram plots are shown on the left. Percentage of Ly5.2*GFP+Lin⁻Kit⁻ cells that coexpress CD49b⁺, CD11b^{mid}, and CD44⁺ are displayed for the control and Hlx groups in the right panel (n = 4/condition).



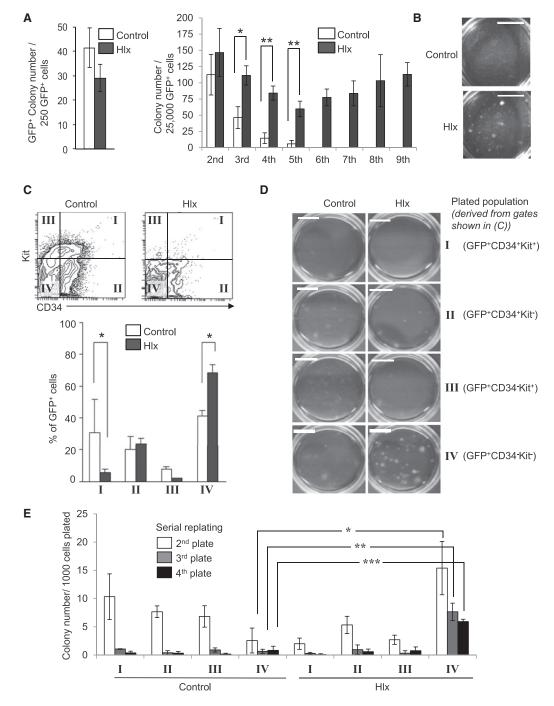


Figure 2. HLX Overexpression Confers Serial Replating Capacity to Lin⁻CD34⁻Kit⁻ Cells

(A) Primary colony formation assay (left panel) and serial replating assay (right panel) of Lin-Kit+Sca1+ cells after transduction with control lentivirus or HIx lentivirus. GFP+ colonies derived from control cells (white bars) and HLX-overexpressing cells (black bars) ± SD are shown. Statistical significance is indicated (*p < 0.05, and **p < 0.005, n = 3).

- (B) Photograph of entire tissue culture dishes after fifth plating shows enlarged size of colonies derived from the HIx-transduced cells. Scale bar indicates 1 cm.
- (C) HLX overexpression leads to a decrease of CD34+Kit+ cells and increases the CD34-Kit- population. Representative FACS plots are shown in the upper panel. The lower panel shows the frequency of each population within total GFP+ cells (I = CD34+Kit+, II = CD34+Kit+, III = CD34+Kit+, III = CD34-Kit+, and IV = CD34-Kit-). Means of control cells (white bars) and HLX-overexpressing cells (black bars) (± SD) are shown. Statistical significance is indicated (*p < 0.05, n = 3).
- (D) Whole plate photographs of colonies derived from sorted cells from each population (I, II, III, and IV). Scale bars indicate 1 cm.
- (E) Serial replating assay of each sorted population (I, II, III, and IV). Colony numbers (± SD) after second plating (white bars), third plating (gray bars), and fourth plating (black bars) are shown (n = 3). Statistical significance is indicated (*p = 0.013; **p = 0.0015; ***p = 0.0004). Additional data on the aberrant clonogenic population is shown in Figure S2.



HLX Induces a Myelomonocytic Differentiation Block

To investigate the effect of HLX overexpression on differentiation capacity, we analyzed the clonogenic GFP+CD34-Kit- cells from the first colony assay for the expression of additional cell surface markers. The proportions of Gr1⁺Mac1⁺-, Gr1⁻Mac1⁺-, and F4/80+Mac1+-expressing cells were significantly reduced (Gr1+Mac1+: from 29.7% [control] [SD: 6.6%] to 16.2% [HIX] [SD: 1.9%], p = 0.026; Gr1⁻Mac1⁺: from 30.7% [SD: 4.5%] to 11.2% [SD: 2.6%], p = 0.003; $F4/80^{+}Mac1^{+}$: from 31.6% [SD: 4.9%] to 8.9% [SD: 2.8%], p = 0.002; n = 3), indicative of a defect in myelomonocytic differentiation (Figure 3A). Expression of erythroid, B-lymphoid, and T-lymphoid markers was unchanged. Furthermore, almost half of the HLX-overexpressing GFP+CD34-Kit- population (47.6% [SD: 2.8%]) was lineagenegative, in contrast to only 17% (SD: 1.2%) of GFP+CD34-Kitcontrol-transduced cells (p = 0.005) (Figure 3A). Sorted, HLXoverexpressing GFP+Lin-CD34-Kit- cells also showed a significant increase in clonogenicity compared with controltransduced cells (Figure S3), indicating that HLX acts at the level of Lin⁻CD34⁻Kit⁻ cells. To specifically test myelomonocytic differentiation, we carried out colony-formation assays with GM-CSF or M-CSF stimulation (Figures 3B-3E). HIx-transduced cells gave rise to lower numbers of Gr1-Mac1+ and F4/ 80⁺Mac1⁺ cells, compared to control-transduced cells, upon either GM-CSF or M-CSF stimulation (Figures 3B and 3D). Cytomorphological evaluation of cells after stimulation showed an increased percentage of Hlx-transduced cells with immature progenitor morphology, in stark contrast to control-transduced cells which predominantly displayed mature monocytic morphology (Figures 3C and 3E). Taken together, our findings show that HLX not only enhances clonogenicity of an increased population of Lin-CD34-Kit- cells, but also confers a partial myelomonocytic differentiation block.

HLX Downregulation Inhibits AML

To test whether HLX overexpression is functionally important for AML, we targeted HIx by RNA interference. We transduced leukemia cells derived from the PU.1 URE^{Δ/Δ} AML model (URE cells) as well as human AML cells with lentiviral constructs expressing either HIx-directed (sh HIx) or control shRNA (sh control). Knockdown of HLX by 80% led to significantly reduced cell proliferation in suspension culture, as well as reduced formation of colonies of URE cells in methylcellulose assays in comparison to control cells (p < 0.00001) (Figures 4A-4C). Likewise, human KG1a, THP1, and MOLM13 AML cells showed 40%-60% reduced growth (p = 0.003, p = 0.001, and p = 0.015, respectively) in suspension culture, as well as significantly diminished clonogenicity (p = 0.02, p = 0.038, and p = 0.004, respectively) (Figure 4D). To test the antileukemic effect of HLX suppression in vivo, we performed murine transplantation assays. We found that reduction of HLX levels in transplanted URE cells significantly prolonged recipient survival in comparison to mice transplanted with control shRNA-transduced cells (p = 0.0012, and p = 0.0041) (Figure 4E). Taken together, our findings demonstrate that HLX is functionally important for AML cells and that targeting HLX can inhibit cellular growth, decrease clonogenicity, and lead to improved survival in a murine AML transplantation model. Of note, HIx heterozygous mice, which show a 50% reduction of HLX protein levels in BM

cells, did not show any noticeable effects on normal hematopoiesis, including hematopoietic stem cell functions, such as capacity for hematopoietic reconstitution and serial transplantability (Figure S4).

Inhibition of HLX Leads to Decreased Cell Cycling, Increased Cell Death, and Differentiation of AML Cells and Causes Significant Changes in Gene Expression

To obtain insight into the mechanism of action of HLX inhibition, we studied the cell biological and molecular consequences of knockdown of HLX in leukemia cells. We found that HLX downregulation in URE cells led to a decrease in viable cells and to an increase in necrotic cells (Figure 5A). This was accompanied by a lower number of cells in S phase (p = 0.027) and a higher number of cells in G0/G1 phase of cell cycle (p = 0.002) (Figure 5B). In addition, reduction of HLX led to an increased population of cells expressing lower levels of Kit and higher levels of Gr-1 and Mac1, indicative of myeloid differentiation (Figures 5C and 5D). Stimulation with GM-CSF further increased the number of Mac1- and Gr-1-expressing cells and led to increased differentiation of AML cells upon sh HIx treatment in comparison to control-treated cells, which retained an immature, leukemic morphology (Figure 5E). This observation shows that inhibition of HLX can overcome the myeloid differentiation block of AML cells.

To gain insight into the molecular effects caused by HLX inhibition, we measured gene expression profiles of sh Hlx-transduced URE cells and control shRNA-transduced cells. Leukemia cells treated with HIx-directed shRNAs displayed an altered gene expression pattern, with 392 genes being significantly differentially expressed (mean difference > 0.5 [log2], p < 0.05) (Figure 5F). Gene set enrichment analysis (GSEA) showed that "leukocyte differentiation," "cell activation," and "cell proliferation" were among the most significantly affected cellular functions (Figure 5G), which is consistent with the leukemia-inhibitory effect of HLX reduction in URE cells. We specifically found that several key genes involved in the regulation of cell cycle and proliferation, cell death, and myeloid differentiation, were significantly changed upon HLX downregulation (Figure 5H). We confirmed differential expression of several genes-namely, Btg1, FoxO4, Fyn, Gadd45a, RhoB, Trp63, Zfp36l1, Hdac7, and Pak1-by qRT-PCR (Figure 5I and Figure S5A). We also found enrichment of genes involved in pathways of other cellular functions (Figure S5B). Furthermore, we utilized GSEA to compare the HIx knockdown data with the molecular signatures database (MSigDB) (Mootha et al., 2003; Subramanian et al., 2005) and found negative enrichment of several known leukemia- and stem cell-related gene signatures (Table S1). Taken together, these data are consistent with a model that HLX overexpression leads to activation of a specific transcriptional program in leukemia cells, which affects processes critical for leukemogenesis such as cell differentiation and proliferation, and which can at least partially be reversed by inhibition of HLX.

We focused on two downstream genes modulated upon HLX inhibition in AML cells, Pak1 and Btg1, which have previously been implicated in the regulation of cell cycle and malignant proliferation (Ong et al., 2011; Kuo et al., 2003). Knockdown of PAK1 in KG1a AML cells led to a significant inhibition of cell proliferation (p < 0.05) and clonogenicity (p < 0.03) (Figure 5J



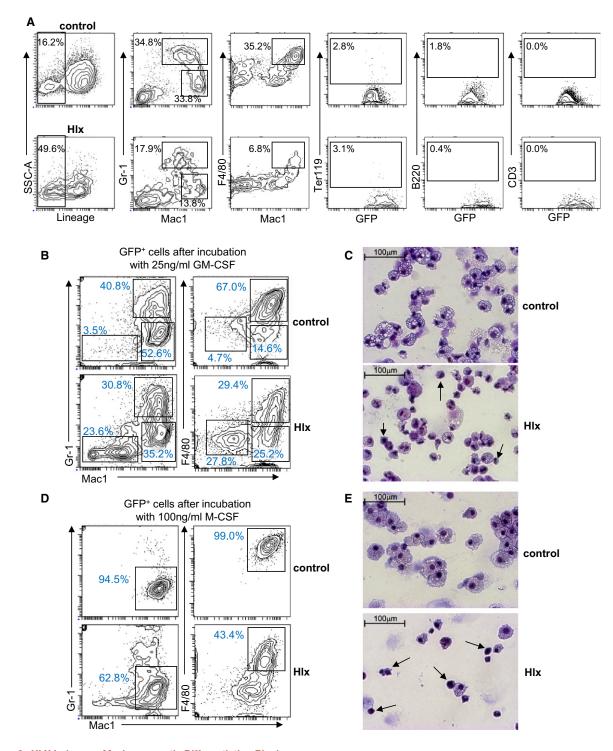


Figure 3. HLX Induces a Myelomonocytic Differentiation Block

(A) Representative FACS analysis of GFP+CD34-Kit- cells after the primary colony-forming assay. Relative percentages of cells in the indicated gates are given and show a reduction of mature myelomonocytic cells derived from the cells overexpressing HLX.

- (B) FACS analysis of cells derived from control-transduced or Hix-transduced LSK cells after culture in methylcellulose with 25 ng/ml GM-CSF. Relative percentages of cells are given for each gate and show a lower number of cells expressing mature myelomonocytic markers.
- (C) Representative morphology of cells from (B). Cells with immature morphology can be found in the colonies derived from cells transduced with HIx (indicated
- (D) FACS analysis of cells derived from control-transduced or HIx-transduced LSK cells after culture in methylcellulose with 100 ng/ml M-CSF.
- (E) Representative morphology of cells from (D), which confirms a monocytic differentiation block. Cells with immature morphology are indicated by arrows. See also Figure S3 for additional data.



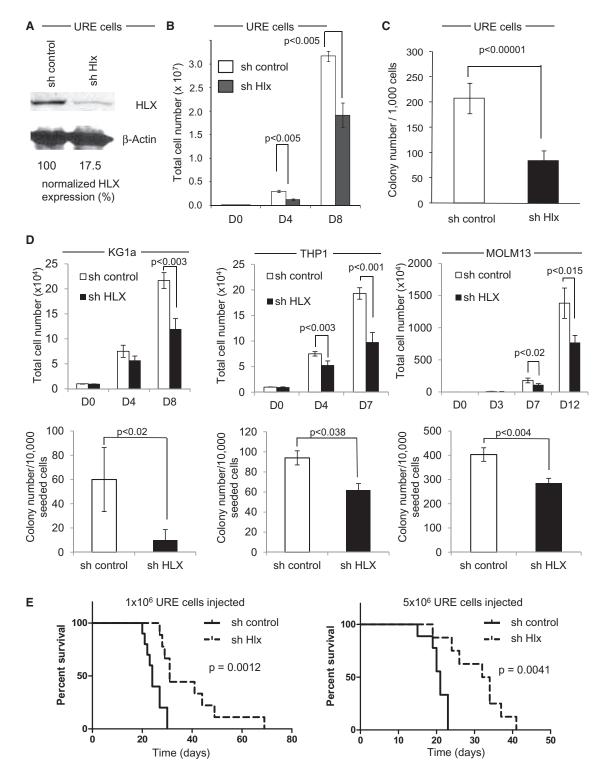


Figure 4. HLX Downregulation Inhibits AML

Lentiviruses expressing short hairpins directed against HIx (sh HIx) or a control (sh control) were used to downregulate HLX in mouse AML cells (panels A–C) and human AML cells (sh HLX) (panel D).

⁽A) Western blotting shows a >80% reduction of HLX protein by shRNA.

⁽B) Cell proliferation kinetics were determined by manual cell counts using trypan blue exclusion (n = 3) in sh control cells (white bars) and sh Hlx cells (black bars). Different time points (days) are indicated. Error bars indicate SD.

⁽C) Clonogenic assay of URE cells treated with sh control or sh Hlx; 1,000 cells each were cultured in methylcellulose, and GFP^+ colonies were counted. Error bars indicate SD (n = 3).



and Figure S5C), mimicking the effects we had observed by knockdown of HLX. Similarly, ectopic expression of BTG1 led to a significant inhibition of cell growth (p < 0.01) and colony-forming capacity (p < 0.008) of KG1a cells (Figure 5K and Figure S5D). These findings suggest that downregulation of PAK1 and upregulation of BTG1 are functionally relevant for mediating the leukemia-inhibitory effects of HLX knockdown.

HLX Is Overexpressed in Patients with AML

To examine whether *HLX* overexpression plays a role in human leukemia, we analyzed gene expression data of 354 patients with AML (Figueroa et al., 2010; Wouters et al., 2009). HLX was overexpressed in 87% of patients with AML in comparison to CD34+ cells of healthy donors (Figure 6A). On average, HLX expression was 2.03-fold higher in patients with AML (p = 1.9×10^{-9} ; Figure 6B). Fifty-four percent (190 of 354) of patients with AML overexpressed HLX more than 2-fold, with the range extending up to 6.8-fold overexpression. These results demonstrate that HLX overexpression is a common feature in patients with AML. HLX overexpression was found across different French-American-British (FAB) classification subsets of AML (Table S2). Of note, high HLX expression was significantly more frequent in AML with myelomonocytic (M4) and monoblastic (M5) morphology, which is consistent with the observed myelomonocytic differentiation block caused by HLX overexpression in vitro.

Increased *HLX* Expression Correlates with Inferior Survival

We further sought to examine whether HLX expression levels in patients were associated with known clinical or molecular parameters. We analyzed four published data sets of patients with AML, of whom gene expression and time-to-event data were available (NCBI GEO accession numbers GSE10358, GSE12417 [U133A], GSE12417 [U133plus2], and GSE14468) (Metzeler et al., 2008; Tomasson et al., 2008; Wouters et al., 2009). Because the lower 25% of patients had HLX expression levels very similar to CD34⁺ cells of healthy donors (Figure 6B), we decided to use the 25th percentile to dichotomize patients into "HLX high" and "HLX low" expressers. We compared the overall survival of patients with AML with low versus high HLX, and observed that in each of the four different data sets, high HLX expression was associated with inferior overall survival (Figures S6A-S6D). Overall survival (irrespective of HLX status) in data sets GSE12417 (U133plus2.0), GSE14468, and GSE10358 was very similar, with superimposable survival curves (p = 0.4636, log-rank test; Figure S6E), suggesting that these cohorts and their clinical outcomes could be combined for further analyses. The GSE12417 (U133A) data set showed a worse overall survival outcome compared with the other data sets (Figure S6F) and was therefore not included in the combined analysis. Consistent with the analyses of the individual data sets, the evaluation of the combined set of patients from the GSE10358, GSE12417 (U133plus2.0), and GSE14468 data sets (n = 601 total) confirmed that high HLX levels are associated with inferior overall survival (p = 2.336×10^{-6} [log-rank]; hazard ratio [HR] = 0.57 [95% CI: 0.046-0.71]; median survival: 17.05 months [HLX high], "not reached" [HLX low]; 5-year survival rate: 32.95% [HLX high], 55.85% [HLX low]) (Figure 6C). To assess whether the impact of HLX expression on overall survival is independent of known prognostic factors for AML, we performed multivariate analysis based on the data of the GSE14468 data set (Figueroa et al., 2010; Wouters et al., 2009), using a Cox regression model. In this analysis, high HLX status remained an independent prognostic factor (p = 0.0416; HR, 1.521) along with FLT3 mutation status (p = 0.0003; HR, 1.925), *NPM1* mutation status (p = 0.0006; HR, 0.518), *CEBPA* mutation status (p = 0.0371; HR, 0.693), and cytogenetic risk group (p = 0.0109; HR, 1.382). Of note, the relative blast percentage in the bone marrow was not correlated with HLX expression or overall survival (Figures S6G-S6I). The independent prognostic role of HLX status led us to hypothesize that it may provide additional prognostic information for patients who belong to previously established, molecularly defined subtypes of AML. We indeed observed that, among patients with FLT3 WT, NPM1 mutations, or CEBPA mutations, high HLX expression was associated with inferior overall survival (p = 0.0175, p = 0.0407 and p = 0.0306, respectively) (Figures 6D-6F).

An *HLX*-Dependent Transcriptional Signature Is Functionally Relevant in Patients with AML

To obtain insight into the molecular consequences of elevated HLX levels, we overexpressed HLX in sorted murine LSK cells and performed genomewide transcriptional analysis. We found that 195 genes were significantly changed, resulting in a clearly distinguishable expression signature induced by HLX overexpression (Figure S6J). Using GSEA, we found enrichment of known leukemia- and stem cell-related gene signatures, consistent with our previous findings (Table S3).

Next, we tested whether this mouse LSK HLX overexpression gene set correlated with HLX expression in human patients with AML. Specifically, we compared the human orthologs of the mouse gene set to HLX expression levels of patients with AML in the different cohorts using globaltest (Goeman et al., 2004). We found a highly significant correlation between the mouse gene signature and HLX expression in human AML samples (p = 7.43×10^{-23} for GSE14468, p = 2.13×10^{-08} for GSE10358, p = 2.31×10^{-06} for GSE12417 [U133plus2.0], and p = 5.01×10^{-10} for GSE12417 [U133A]). Furthermore, we intersected differentially expressed genes from the HLX overexpression or inhibition studies with analogously differentially expressed genes in "HLX high" versus "HLX low" patients of the GSE14468 data set, and analyzed these genes for association with survival. Thereby, we were able to define an HLX-dependent

(D) Cell proliferation kinetics in suspension culture (top panel) and clonogenicity (bottom panel) of human AML cells (KG1a, left panel; THP1, middle panel; MOLM13, right panel). Time points (days) are indicated. Error bars (SD) and statistical significance is indicated (t test, n = 4).

⁽E) Transplantation of URE cells transduced with sh control or sh Hlx into NSG mice. One million (left panel) or 5 million cells (right panel) were retroorbitally injected into NSG mice after sublethal irradiation (250 cGy). Kaplan-Meier curves of overall survival of recipient mice are displayed. p values (log-rank) are indicated. See also Figure S4 for additional data.



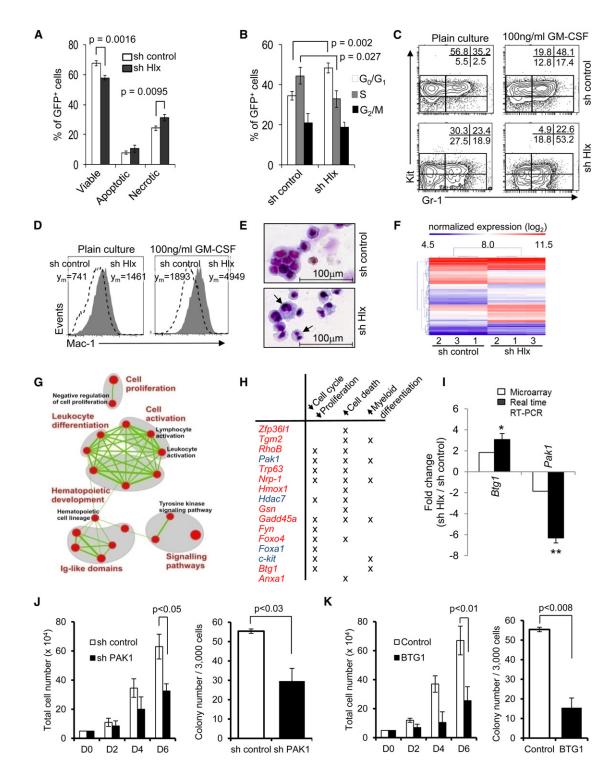


Figure 5. Inhibition of HLX Leads to Decreased Cell Cycling, Increased Cell Death, and Differentiation of AML Cells and Causes Significant Changes in Gene Expression

(A) Relative percentages (± SD) of viable cells (DAPI-negative/Annexin V-negative), apoptotic cells (DAPI-negative/AnnexinV-positive), and necrotic cells (entire DAPI-positive) in sh control cells (white bars) and sh Hlx cells (black bars). p values are indicated (n = 3).

(B) Cell cycle status of sh control and sh Hlx leukemia cells. Percentages of cells in G0/G1 (white bars), S (gray bars), and G2/M (black bars) phase of cell cycle are displayed. Statistical significance is indicated (n = 3).

(C and D) Cell surface marker analysis after suspension culture for 3 days. Relative percentages of cells in the indicated gates are given and show a decrease of immature Kit⁺ cells and an increase of Kit⁻Gr1⁺ cells (C), and an increase of Mac1⁺ cells (D).

(E) Morphology of cells after treatment with 100 ng/ml recombinant GM-CSF for 3 days. Cells with maturation signs are indicated by arrows.



core set of 17 genes (referred to as "HLX signature") correlating with HLX expression status in patients with AML (Figure 6G). When we dichotomized patients into "HLX signature high" versus "HLX signature low" patients (defined by the genes of the signature, excluding HLX), we found that "HLX signature high" patients had significantly inferior overall survival (p = 0.0089 [log-rank]; HR, 0.66 [95% CI: 0.48-0.90]; median survival: 17.22 months for HLX signature high, "not reached" for HLX signature low; 5-year survival rate: 34.5% for HLX signature high, 53.9% for HLX signature low) (Figure 6H).

We subsequently validated the HLX signature in an independent cohort of patients, the GSE10358 data set, and found that the signature correlated strongly (p = 7.8×10^{-11}) with "HLX high" versus "HLX low" expression status in these patients with AML as well (Figure 6I). Furthermore, "HLX signature high" patients showed a strikingly inferior overall survival (p = 1.89×10^{-05} [log-rank]; HR, 0.42 [95% CI: 0.28–0.62]; median survival: 18.3 months [HLX signature high], "not reached" [HLX signature low]; 5-year survival rate: 29.0% [HLX signature high], 67.0% [HLX signature low]) (Figure 6J). Taken together, these data suggest that elevated HLX levels cause a specific, functionally critical gene expression signature in human AML.

Interestingly, PAK1 was part of the HLX-induced prognostic signature. Given our finding that PAK1 mediates the leukemiainhibitory effects of HLX knockdown in AML cells (Figure 5J), we asked whether PAK1 expression levels alone may be functionally relevant in patients with AML. We dichotomized patients with AML into "PAK1 high" and "PAK1 low" expressers and analyzed clinical outcome. "PAK1 high" patients showed significantly inferior overall survival (p = 0.00014 [log-rank]) than did "PAK1 low" patients (median: 17.7 months [PAK1 high] versus 109.1 months [PAK1 low]; 5-year survival rate: 34.0% [PAK1 high] versus 50.5% [PAK1 low]) (Figure S6K). Of note, high PAK1 expression was associated with inferior overall survival only in patients of the "HLX high" group (p = 0.0005 [log-rank]; HR, 0.62 (95% CI: 0.48-0.81)); median survival: 15.8 months [PAK1 high], 42.0 months [PAK1 low]; 5-year survival rate: 29.7% [PAK1 high], 48.1% [PAK1 low]), but not in "HLX low" patients (p = 0.77 ([og-rank]; HR, 1.08 (95% CI: 0.65-1.78]); 5-year survival rate: 55.0% [PAK1 high], 55.0% [PAK1 low]) (Figures S6L and S6M). In addition, PAK1 expression levels were on average 1.5-fold higher (p = 2.2×10^{-16}) in "HLX high" patients compared to "HLX low" patients (Figure S6N), and HLX and PAK1 expression levels in individual patients were also significantly correlated (p = 8.8×10^{-15} , R = 0.31; Figure S6O). Furthermore, experimental overexpression of HLX in LSK cells led to a significant increase in Pak1 mRNA expression (1.9-fold, p = 0.017; Figure S6P).

DISCUSSION

Utilizing both mouse and human model systems, we have shown that the class II homeobox protein HLX affects hematopoietic stem cell function, as well as clonogenicity and differentiation of immature hematopoietic progenitor cells. We found that HLX is significantly overexpressed in the majority of patients with AML and that high HLX expression levels are independently associated with inferior clinical outcome. Thereby, our study identifies HLX as a class II homeobox gene which is critically involved in the pathogenesis of AML. Our finding that increased HLX expression correlates with more aggressive disease, combined with the observation that HLX knockdown results in an inhibition of growth and clonogenicity of leukemia cells, further suggest that HLX may be a promising prognostic and therapeutic target. Future studies evaluating HLX in the context of different therapies will be required to determine whether HLX also has predictive relevance.

Many clustered (class I, or HOX) homeobox genes have been implicated in normal hematopoiesis as well as leukemia, but much less is known about the role of nonclustered (class II) homeobox transcription factors (for review, see Argiropoulos and Humphries, 2007). Several HOX genes are expressed at high levels in subtypes of AML (Alcalay et al., 2005; Ayton and Cleary, 2003; Bullinger et al., 2004; Horton et al., 2005). Important roles in leukemic transformation have been demonstrated specifically for several members of the HOX-A and the HOX-B cluster (Fischbach et al., 2005; Krivtsov et al., 2006; Kroon et al., 1998; Sauvageau et al., 1997; Somervaille and Cleary, 2006; Thorsteinsdottir et al., 1997). Also, the nonclustered homeobox gene CDX2 was recently reported to be implicated in leukemogenesis (Scholl et al., 2007). However, the clinical significance of these known HOX genes is largely unclear. Here, we report that expression levels of a homeobox gene are strongly associated with inferior overall survival in several large, independent cohorts of patients with AML. Furthermore, the prognostic value of HLX is a broad phenomenon across several molecular subsets of patients, and HLX holds up as an independent prognostic factor in a multivariate model. Gene expression analyses upon experimental overexpression and inhibition of HLX demonstrated that HLX regulates the expression of

⁽F) Hierarchical clustering of genes differentially expressed in URE leukemia cells upon HLX knockdown. Expression levels are color-coded.

⁽G) Enrichment map representation of cellular processes perturbed in leukemia cells upon HLX knockdown. Enriched gene sets are represented as nodes (red circles) connected by edges (green links) denoting the degree of gene set overlap. The node size is proportional to the number of genes in the gene set and the edge thickness represents the number of genes that overlap between gene sets. The color intensity of the nodes indicates the statistical significance of enrichment of a particular gene set. Groups of functionally related gene sets are circled in gray and labeled. A more comprehensive enrichment map is shown in Figure S5. See Table S1 for additional information.

⁽H) Select genes altered by HLX inhibition in URE leukemia cells. Upregulated genes are shown in red, and downregulated genes are shown in blue. Their involvement in regulation of cell cycle-proliferation, cell death, and myeloid differentiation is indicated.

⁽I) Validation of differential mRNA expression of Btg1 and Pak1. Fold changes (± SD) upon HLX knockdown are shown. (*p < 0.05; **p < 0.01, n = 3). Additional qRT-PCR validation is shown in Figure S5.

⁽J) Short hairpin (shPAK1) or a control (sh control) were used to downregulate HLX downstream target PAK1 in human AML cell line KG1a. Proliferation (left panel) and clonogenicity (right panel) are shown. Error bars indicate SD (n = 4).

⁽K) Effect of BTG1 overexpression on KG1a proliferation (left panel) and colony formation capacity (right panel). Error bars indicate SD (n = 4). Quantification of PAK1 knockdown and BTG1 overexpression are shown in Figures S5C and S5D.



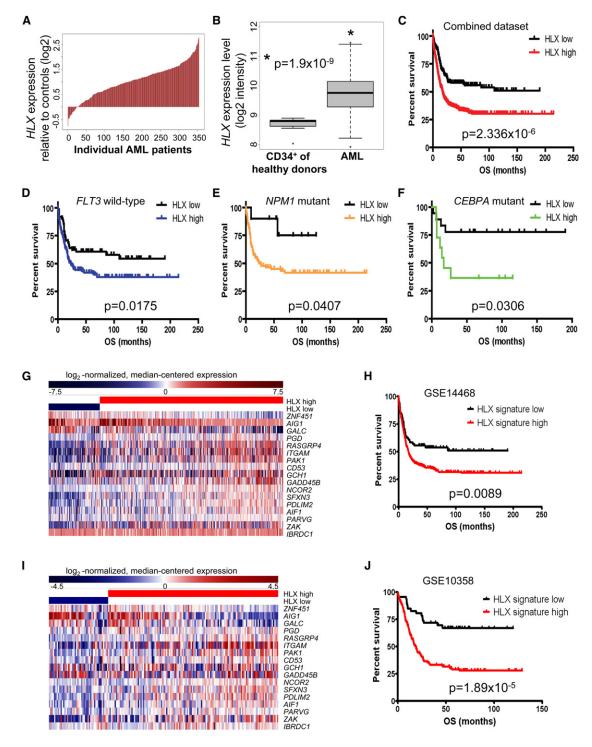


Figure 6. HLX Is Overexpressed in Patients with AML and Correlates with Poor Overall Survival

(A) Waterfall plot of relative expression (log2) of HLX of 354 patients with AML in comparison to CD34-enriched bone marrow cells from 11 healthy donors. (B) Box plot summary of the HLX expression data shown in (A). HLX expression is significantly higher in patients with AML in comparison to CD34⁺ cells from healthy donors (p = 1.9×10^{-9}). The median expression values (bold lines), 25^{th} and 75^{th} percentile (bottom and top of box), and the minimum and maximum (lower and upper whiskers) of both groups are shown. See Table S2 for additional information.

⁽C) Kaplan-Meier survival plots of overall survival (OS) of patients with high versus low *HLX* expression in a combined data set of 601 patients with AML (GSE10358, GSE12417 (U133plus2.0) and GSE14468). Patients with high expression of *HLX* show drastically inferior clinical outcome. The p value (log-rank test) is indicated. Kaplan-Meier plots for all individual data sets are shown in Figures S6A–S6F.

⁽D–F) Kaplan-Meier survival plots comparing overall survival (OS) of patients with high versus low *HLX* expression in molecularly defined subsets of AML. High expression of *HLX* is associated with significantly inferior clinical outcome in all subsets. p values (log-rank test) are given.



a specific subset of genes, and that part of this "HLX signature" is also detectable in patients with AML and discriminates between patients with poor and favorable clinical outcome. Taken together, these observations suggest that HLX is a key regulator of a gene subset critical for AML pathogenesis and that it may define a previously unrecognized molecular subtype of AML with distinct biological features and clinical outcome.

We identified PAK1 and BTG1 as two genes downstream of HLX in myeloid cells and show that PAK1 and BTG1 are functionally relevant in AML cells. Notably, PAK1 is upregulated in human patients with AML with high HLX expression, and it is also part of the HLX-induced core signature that independently predicts overall survival in patients with AML. Interestingly, high PAK1 expression itself is associated with inferior overall survival, but only in patients with high HLX expression, indicating that there is an interaction of HLX and PAK1 with respect to their impact on overall survival. The correlation of HLX and PAK1 expression levels in the murine HLX knockdown model and in patients with AML, together with the observed induction of Pak1 expression upon HLX overexpression in stem cells, strongly suggest that Pak1 is a downstream target of HLX and critically mediates, at least in part, the leukemia-promoting effects of HLX in patients in vivo. Further studies will be required to determine the exact nature of this regulation, and whether it is

Several HOX genes, such as HOXB4, have been reported to be stimulators of HSC function and expansion (Antonchuk et al., 2002; Sauvageau et al., 1995). Our data show that HLX suppresses the function of normal immature HSC and progenitors, but leads to an increase of clonogenicity, as well as a differentiation block at the level of phenotypically more mature progenitors. Because the loss of HSC does not seem to be mediated by induction of apoptosis or necrosis, one may speculate that HLX exerts this dual role by triggering initial differentiation of HSC and suppression of terminal differentiation at a more committed progenitor level. Further studies will be required to understand the molecular basis of this effect. Although overexpression of HLX led to the formation of myeloid progenitors with unlimited serial clonogenicity, we so far did not observe development of leukemia upon transplantation, suggesting that HLX elevation alone may not be sufficient for full transformation. Like other homeobox genes, HIx may function in concert with cofactors (Moens and Selleri, 2006; Pineault et al., 2004). Such cofactors could confer cell type specificity to the effects of HLX overexpression and also contribute to leukemic transformation. It is also possible that increase of HLX plays a role in leukemia maintenance rather than leukemia induction.

Several transcription factors that govern normal hematopoietic differentiation have been implicated in leukemogenesis by blocking differentiation and promoting self-renewal and clonogenicity (for review, see Rosenbauer and Tenen, 2007). HLX may act similar to those factors by establishing a specific gene expression program in early HSPC, which results in increased long-term clonogenicity and a differentiation arrest, contributing to poor clinical outcome. Thus, HLX expression levels may be utilized to predict clinical outcome and improve risk stratification. Finally, inhibition of HLX may be a promising strategy for treatment of patients with AML.

EXPERIMENTAL PROCEDURES

Mice and Cells

FVB/nJ mice (Ly5.1), C57BL/6J (Ly5.2) mice, and B6.SJL-Ptprca Pepcb/BoyJ (Pep boy, Ly5.1) and NOD.Cg-Prkdcscid Il2rgtm1Wjl/SzJ (NSG) mice were used for in vitro assays and in vivo transplantation assays. Mice with targeted disruption of the upstream regulatory element (URE) of the PU.1 gene have been previously described (Rosenbauer et al., 2004). All animal experiments were approved by the Institutional Animal Care and Use Committee of the Albert Einstein College of Medicine (protocol # 20080109). URE cells were established and maintained as described previously (Steidl et al., 2006). Human AML cell lines THP1, MOLM13, and KG1a were cultured under standard conditions.

Flow Cytometric Analysis and Sorting

Mononuclear cells were purified by lysis of erythrocytes. For analysis and sorting, we used antibodies directed against CD4[GK1.5], CD8a[53-6.7], $CD19[eBio1D3],\ Gr-1[RB6-8C5],\ B220[RA3-6B2],\ F4/80[BM8],\ c-kit[ACK2],$ Sca-1[D7], CD34[RAM34], CD16/32[93], Flk-2[A2F10], Mac1[M1/70], Ter119 [TER-119], and Thy-1.2[53-2-1]. To distinguish donor from host cells in transplanted mice, cells were additionally stained with anti-CD45.1[A20] and CD45.2[104]. Analysis and sorting were performed using a FACSAria II Special Order System (BD Biosciences, San Jose, CA). See Supplemental Experimental Procedures for more details.

Lentiviral Vectors and Transduction

For overexpression studies, we introduced the mouse HIx coding sequence into the EcoRI site of a pCAD-IRES-GFP lentiviral construct (Steidl et al., 2007). For BTG1 overexpression, we introduced the human BTG1 coding sequence into the EcoRI site of the pCAD-IRES-GFP construct. For murine knockdown studies, we inserted shRNA template oligonucleotides (target sense strand-loop-target antisense strand-TTTTT) into the pSIH1-H1-copGFP shRNA vector (System Biosciences, Mountain View, CA). For human knockdown studies, we utilized the pGIPZ system from Open Biosystems (Huntsville, AL). See Supplemental Experimental Procedures for sequence information. For knockdown of PAK1, we utilized the plko.1-puro vector system (Sigma) with nonsilencing control or human PAK1 target. Lentiviral particles were produced utilizing 293T cells and were concentrated by ultracentrifugation. For overexpression studies, we treated sorted Lin-Kit+ cells from WT C57BL/6J (Ly5.2) BM (for in vivo assays) or Lin⁻Kit⁺Sca-1⁺ cells from WT FVB/nJ BM (for in vitro assays) with control virus or HIx virus. In brief,

⁽D) Patients with AML with no detectable mutations of the FLT3 gene.

⁽E) Patients with AML with mutant NPM1.

⁽F) Patients with AML with mutant CEBPA.

⁽G) Heat map of log2-normalized, median-centered gene expression of a 17-gene "HLX signature" in patients with AML of the GSE14468 cohort. HLX expression status is indicated. Relative gene expression is color-coded (red: high, blue: low).

⁽H) Kaplan-Meier plot comparing overall survival (OS) of "HLX signature high" versus "HLX signature low" AML patients of the GSE14468 cohort. The p value (log-rank) is indicated.

⁽I) Heat map of log2-normalized, median-centered gene expression of the "HLX signature" in AML patients of the GSE10358 cohort.

⁽J) Kaplan-Meier survival plot comparing overall survival (OS) of "HLX signature high" versus "HLX signature low" patients with AML of the GSE10358 cohort. The p value (log-rank) is indicated. See also Figure S6 and Table S3 for additional information.



sorted cells were cultured with lentiviral supernatants in the presence of 8 μ g/ml polybrene. Twenty-four hours after transduction, cells were washed with PBS and then used for experiments. After 40 hr, transduction efficiency was determined by flow cytometry. For knockdown studies, cells were incubated with short-hairpin-containing lentivirus for 24 hr. GFP+ cells were sorted using a FACS Aria II sorter (BD Biosciences) and used for experiments.

Quantitative Real-Time PCR

We extracted total RNA from FACS-sorted cells or cultured cells using RNeasy Micro kit (QIAGEN, Valencia, CA) and synthesized cDNA by Superscript II reverse transcriptase (Invitrogen, Carlsbad, CA). We performed real-time PCR using an iQ5 real-time PCR detection system (BIO-RAD, Hercules, CA) with 1 cycle of 50°C (2 min) and 95°C (10 min) followed by 40 cycles of 95°C (15 sec) and 60°C (1 min) using Power SYBR Green PCR master mix (AB, Carlsbad, CA) (see Supplemental Experimental Procedures for primer sequences).

Western Blotting

See Supplemental Experimental Procedures.

Cell Proliferation, Cell Cycle, and Apoptosis Assays

See Supplemental Experimental Procedures.

Colony Formation Assays and Serial Replating Assays

Assays were performed in MethoCult M3434 (Stem Cell Technologies, Vancouver, BC) containing IL-3, IL-6, SCF, and EPO or in MethoCult M3234 supplemented with M-CSF or GM-CSF as previously described (Higuchi et al., 2002; Huntly et al., 2004; Will et al., 2009). GFP+ colonies were scored 8–10 days after plating using an AXIOVERT 200M microscope (Zeiss, Maple Grove, MN). After scoring, we resorted GFP+ cells after each round and then proceeded with serial replating assays. Cells were replated and colonies were again scored after 10–14 days.

Transplantation Assays

For HLX overexpression studies, 5×10^4 lentivirus-transduced Lin⁻Kit⁺ cells (Ly5.2) together with 2.5×10^5 spleen cells from congenic WT recipients (Ly5.1) were transplanted into lethally irradiated age-matched congenic WT recipients (Ly5.1) by retroorbital vein injection. Total body irradiation was delivered in a single dose of 950 cGy using a Shepherd 6810 sealed-source 137 Cs irradiator.

Micorarray Experiments and Analysis

RNA was extracted from sorted GFP⁺ cells utilizing the RNeasy Micro Kit (QIAGEN). After evaluation of the quality of RNA with an Agilent2100 Bioanalyzer, total RNA was used for amplification utilizing the Nugen Ovation pico WTA system according to the manufacturer's instructions. After labeling with the GeneChip WT terminal labeling kit (Affymetrix), labeled cRNA of each individual sample was hybridized to Affymetrix Mouse Gene 1.0ST microarrays (Affymetrix), stained, and scanned by GeneChip Scanner 3000 7G system (Affymetrix) according to standard protocols. For data analysis, we utilized Multiple Experiment Viewer v.4 pilot2 (Saeed et al., 2006), DAVID bioinformatics tool (Huang et al., 2009a, 2009b), Enrichment Map Cytoscape plugin (Cline et al., 2007; Merico et al., 2010), and Gene Set Enrichment Analysis v2.0 (GSEA) (Mootha et al., 2003; Subramanian et al., 2005). See Supplemental Experimental Procedures for details.

Data Sets and Statistical Analysis

We analyzed the publicly available gene expression data sets with accession numbers GSE12417 (training set U133A and U133B; test set U133plus2.0), GSE14468, and GSE10358 (http://www.ncbi.nlm.nih.gov/geo/). Clinical outcome and mutational data for the GSE10358 data set were obtained from a recent study of the same group (Ley et al., 2010). Analyses of the gene expression profiles from GSE14468, GSE12417 training set, and GSE10358 were performed based on published (Gentles et al., 2010) and publicly available MAS5 files (GSE24006) with reanalyzed data. For analysis of the test set of the GSE12417 data set, CEL files were processed using GenePattern (Broad Institute, Cambridge MA) for normalization (ExpressionFileCreator algorithm). We utilized GraphPad Prism 5.0, R/Bioconductor sva package

(R package version 3.2.0) (Leek et al., 2012), and SPSS 18.0 statistical package for further analyses. See Supplemental Experimental Procedures for details.

Signature Generation and Calculation of Signature Scores

We utilized dChip (http://biosun1.harvard.edu/complab/dchip/), SAM (significance analysis of microarrays), as well as R/Bioconductor globaltest (Goeman et al., 2004) for signature identification, followed by calculation of signature scores. See Supplemental Experimental Procedures for details.

ACCESSION NUMBER

Complete array data are available in the Gene Expression Omnibus (GEO) of NCBI (Edgar et al., 2002), accession number GSE27947.

SUPPLEMENTAL INFORMATION

Supplemental Information includes six figures, three tables, and Supplemental Experimental Procedures and can be found with this article online at http://dx.doi.org/10.1016/j.ccr.2012.06.027.

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